12-02-05



PATENT Attorney Docket No. F-5482 DIV 2 (0360-0159.02)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:)
Mark Vandlik et al.	CERTIFICATE OF MAILING BY "EXPRESS MAIL"
Serial No.: 10/828,440) "Express Mail" Mailing Label No.: <u>EV643273935US</u>) Date of Deposit: <u>December 1, 2005</u>
Filed: April 20, 2004	I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post
Group Art No.: 3762	Office Box Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450,
Examiner: Unknown	Alexandria, VA 22313-1450.) NAME Diane G. Kapil
For: BLOOD PROCESSING SYSTEMS WITH FLUID FLOW CASSETTE WITH A PRESSURE ACTUATED	signature Diane G. Kapil
PUMP CHAMBER AND IN-LINE AIR TRAP)

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Certificate of Mailing Under 37 CFR 1.10

I hereby certify that the correspondence listed below is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service, Label No. EV643273935US under 37 CFR 1.10 on December 1, 2005 and is addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450:

- 1. Certificate of Mailing.
- 2. Further Request for Correction of Filing Receipt.
- 3. Return Receipt Postcard.

Diane G Kapil Name:

Signature: Deane G. Kapil
EV643273935US





Attorney Docket No. F-5482 DIV 2 (0360-0159.02)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Examiner: Unknown	Alexandria, VA 22313-1450) NAME
For: BLOOD PROCESSING SYSTEMS WITH FLUID FLOW CASSETTE WITH A PRESSURE ACTUATED	SIGNATURE Drave G. Kapil
PUMP CHAMBER AND IN-LINE AIR TRAP)

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

FURTHER REQUEST FOR CORRECTION OF FILING RECEIPT

Dear Sir/Madam:

This is a further request to correct the Filing Receipt in the above application.

The Filing Receipt for the above-identified matter indicates a filing date of April 17, 2004. It is respectfully submitted that the application was actually filed on Tuesday, April 20, 2004, and that the U.S. Postal Service put the wrong

date of April 17, 2004, on the Express Mail label. A copy of the Express Mail label is enclosed herein as Exhibit A.

First, the Express Mail label appears to be incorrect on its face. The date on the label, April 17, 2004, was a Saturday. We note that the Post Office marked the Express Mail label to indicate that delivery would take place on the following day (which was a Sunday) by noon. It is unlikely that the Post Office would deliver by noon on a Sunday. Therefore, we believe that the Express Mail label is incorrect on its face.

In addition, the following documents, which accompanied the application when it was filed, indicate that the correct filing date is April 20, 2004. These documents are enclosed herein and include:

- 1. The New Application Transmittal, bearing the same Express Mail Label number (EV318693209US), bears a certificate of service signed and dated April 20, 2004 (Exhibit B).
- 2. Check (number 14580), for the filing fee of \$770.00, is dated April 20, 2004 (Exhibit C).
- 3. The Return Receipt Postcard that accompanied the application, bearing the same Express Mail label number is dated April 20, 2004 (Exhibit D).
- 4. A copy of the Updated Filing Receipt with what is believed to be the correct filing date (Exhibit E).

Accordingly, please correct the Filing Receipt to reflect the correct filing date of April 20, 2004.

Respectfully submitted,

Date:

Gary W. McFarron

Registration No. 27,357

Cook, Alex, McFarron, Manzo, Cummings & Mehler, Ltd. 200 West Adams St., Suite 2850 Chicago, IL 60606

Telephone: (312) 236-8500 Attorneys for Applicant



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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450

Alexano	dria, VA 22313-1450
	NEW APPLICATION TRANSMITTAL Under 37 CFR § 1.53(b)
Transm	litted herewith for filing is the patent application of
Invento	r(s): Mark Vandlik and Tom Westberg
WARNIN	 (a) A patent is applied for in the name or names of the actual inventor or inventors. (b) The inventorship of a nonprovisional application is that inventorship set forth in the oath or declaration as prescribed by § 1.63, except as provided for in § 1.53(d)(4) and § 1.63(c). If an oath or declaration as prescribed by § 1.63 is not filed during the pendency of a nonprovisional application, the inventorship is that inventorship set forth in the application papers filed pursuant to § 1.53(b), unless a petition under this paragraph accompanied by the fee set forth in § 1.17(i) is filed supplying or changing the name or names of the inventor or inventors.
For (titl	e): Blood Processing Systems with Fluid Flow Cassette with a Pressure Actuated Pump chamber and In-Line Air Trap
1.	Type of Application This new application is for a(n) [x] Original (nonprovisional) [] Design [] Plant
NOTE:	If one of the following 3 items apply then complete and attach ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF A PRIOR U.S. APPLICATION CLAIMED and a NOTIFICATION IN PARENT APPLICATION OF THE FILING OF THIS CONTINUATION APPLICATION.
	[] Divisional. [] Continuation. [] Continuation-in-part (C-I-P).
2.	Benefit of Prior U.S. Application(s) (35 U.S.C. 119(e), 120, or 121) [x] The new application being transmitted claims the benefit of prior U.S. application(s). Enclosed are ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED.
	CERTIFICATION UNDER 37 C.F.R. 1.10*
United	or certify that this New Application Transmittal and the documents referred to as attached therein are being deposited with the States Postal Service on this date 20 April 2004, in an envelope as 'Express Mail Post Office to Addressee' mailing Label or EV 3136930000000000000000000000000000000000
	Signature of person mailing paper
	(Application Transmittal - page 1 of 5)

3.	Papers Enclosed
	A. Required for filing date under 37 C.F.R. § 1.53(b) (Regular) or 37 C.F.R. § 1.153 Design) Application
	133 Pages of specification 1 Pages of claims 1 Abstract 1 Sheets of drawing [x] formal [] informal
	B. Other documents enclosed:
4.	Additional papers enclosed
	 Preliminary Amendment Information Disclosure Statement (37 C.F.R. 1.98) Form PTO-1449 (PTO/SB/08A and 08B) Citations Declaration of Biological Deposit Submission of "Sequence Listing," computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence. Authorization of Attorney(s) to Accept and Follow Instructions from Representative Special Comments Other
5.	Declaration or oath
	 Enclosed newly executed copy from parent application identified above Executed by (check all applicable boxes) inventor(s). legal representative of inventor(s). joint inventor or person showing a proprietary interest on behalf of inventor who refused to sign or cannot be reached. This is the petition required by 37 CFR 1.47 and the statement required by 37 CFR 1.47 is also attached. See Item 13 below for fee.
	[x] Not Enclosed. [x] Application is made by a person authorized under 37 C.F.R. 1.41(c) on behalf of all the above named inventor(s). (The declaration or oath, along with the surcharge required by 37 CFR 1. 16(e) can be filed subsequently).
6.	Inventorship Statement The inventorship for all the claims in this application are: [x] The same. [Not the same. An explanation, including the ownership of the various claims at the time the last claimed invention was made [] is submitted. [] will be submitted.

Papers Enclosed

7.		[x] English									
		The attach C.F.R. 1.52		tion includes a	statemen	it that the transla	ition is accurate. 37				
8.	Assignment [x] An assignment of the Invention to Baxter International Inc. [] is attached. A separate [] COVER SHEET FOR ASSIGNMENT (DOCUMENT) ACCOMPANYING NEW PATENT APPLICATION or [] FORM PTO 1595 is also attached. [x] will follow. [] was filed in the parent application identified above										
9.	CERTIFIE	COPY									
	Certified co	opy(ies) of applic	ation(s)								
	Country			Appln. No.			Filed				
	Country			Appln. No.			Filed				
	Country			Appln. No.			Filed				
	Country			Appln. No.			Filed				
	from which	priority is claim	ed								
	[] wil	(are) attached.									
NOTE:	The foreign a	pplication forming th	e basis for th	e clam for priority m	nust be refern	ed to in the oath or de	claration. 37 CFR 1.55(a)				
10.	Fee Calcu	llation (37 C.F.F	R. 1.16)								
	A. [x	[] Regular a	oplication								
			(CLAIMS AS FIL	ED						
		^	Number Filed	Number Included in Basic Fee	Number Extra	Rate	Basic Fee 37 CFR 1.16(a) \$770.00				
Total	Claims 37 CFR	1.16(c)	1	-20 =	(19)	x \$ 18.00	\$0				
indep	endent Claims	(37 CFR 1.16(b)	1	-3 =	(2)	x \$ 86.00	\$O				
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	. [Amendme Amendme Fee for ex	ent deletin	ling extra claim g multiple-depe s is not being pa Filing Fee	endencies aid at this	enclosed. time.	770.00				

	B.	[]	(\$340.00 - 37 CFR 1.16(f)) Filing Fee Calculation	
	C.	[]	Plant application (\$530.00 - 37 CFR 1.16(g)) Filing Fee Calculation	
11.	Small	Entity S The appendity s []	statement oplicant is a Small Entity as defined by 37 CFR 1.status. Small Entity Filing Fee:	
12.	Fee Pa		Being Made at This Time nclosed No filing fee is to be paid at this time. (This and the surcharge required by 37 C.F.R. 1 sed Filing fee Recording assignment (\$40.00; 37 C.F.R. 1.21(h)) Petition fee for filing by other than all the inventors or person on behalf of the inventor where inventor refused to sign or cannot be reached (\$130.00; 37 C.F.R. 1.47 and 1.17(i) For processing an application with a specification in a non-English language (\$130.00; 37 C.F.R. 1.52(d) and 1.17(k) Processing and retention fee (\$130.00; 37 C.F.R. 1.53(d) and 1.21(l)) Fee for international-type search report (\$40.00; 37 C.F.R. 1.21(e))	. 16(e) can be paid subsequently.)
13.	Meth [x] []	Chec	ayment of Fees k in the amount of \$770.00 ge Account No in the amount of blicate of this transmittal is attached.	
14	Auth [x]	Th - /	n to Charge Additional Fees Commissioner is hereby authorized to charge the folduring the entire pendency of this application to Acc 37 C.F.R. 1.16(a), (f) or (g) (filing fees) 37 C.F.R. 1.16(b), (c) and (d) (presentation of 37 C.F.R. 1.16(e) (surcharge for filing the basic flater than the filing date of the application) 37 C.F.R. §§ 1.17(a)(I-5) (extension fees pursuant C.F.R. 1.18 (issue fee at or before mailing of C.F.R. 1.311(b))	extra claims) filing fee and/or declaration on a date uant to § 1.136(a)).

15.	[x]	Credit Account No. 06 Refund	5-2360 WW DE
Reg. No	.: (262)	783 - 1300	SIGNATURE OF PRACTITIONER Daniel D. Ryan (type or print name of attorney) RYAN KROMHOLZ & MANION, S.C. (P.O. Address) Post Office Box 26618
			MILWAUKEE, WISCONSIN 53226
[×]	Sta [x]	tement Where Additional Plus Added Page Application(s) Clai	for New Application Transmittal Where Benefit of Prior U.S.
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	[]	This transmittal en	ds with this page.



Docket No.	-5482 DIV 2	
Booker		PATENT

ADDED PAGES FOR APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED

"In order for an application to claim the benefit of a prior filed copending national application, the prior application must name as an inventor at least one inventor named in the later filed application and disclose the named inventor's invention claimed in at least one claim of the later filed application in the manner provided by the first paragraph of 35 U.S.C. 112." 37 CFR 1.78(a).

NOTE:

"IN ADDITION THE PRIOR APPLICATION MUST BE (1) COMPLETE AS SET FORTH IN S 1.51, OR (2) ENTITLED TO A FILING DATE AS SET FORTH IN S 1.53(B) AND INCLUDE THE BASIC FILING FEE SET FORTH IN S 1.16; OR (3) ENTITLED TO A FILING DATE AS SET FORTH IN S 1.53(B) AND HAVE PAID THEREIN THE PROCESSING AND RETENTION FEE SET FORTH IN S 1.21(L) WITHIN THE TIME PERIOD SET FORTH IN S 1.53(D). "37 CFR 1.78(A).

Relate Back-35 U.S.C. 120 16.

"ANY APPLICATION CLAIMING THE BENEFIT OF A PRIOR FILED COPENDING NATIONAL OR INTERNATIONAL APPLICATION MUST CONTAIN OR BE AMENDED TO CONTAIN IN THE FIRST SENTENCE OF THE SPECIFICATION NOTE: FOLLOWING THE TITLE A REFERENCE TO SUCH PRIOR APPLICATION IDENTIFYING IT BY SERIAL NUMBER AND FILING DATE OR INTERNATIONAL APPLICATION NUMBER AND INTERNATIONAL FILING DATE AND INDICATING THE RELATIONSHIP OF THE APPLICATIONS." 37 CFR 1.78(A). SEE ALSO THE NOTICE OF APRIL 28, 1987 (1079 O.G. 32 TO 46).

The specification includes the following recitation: [X]

Related Applications:

This application claims the benefit of co-pending application Serial No. 09/390,265 filed 3 September 1999 and entitled "Fluid Pressure Actuated Blood Pumping Systems and Methods with Continuous Inflow and Pulsatile Outflow Conditions". This application also claims the benefit of copending patent application Serial No. 09/390,268 filed 3 September 1999 and entitled "Programmable Fluid Pressure Actuated Blood Processing Systems and Methods".

THE PROPER REFERENCE TO A PRIOR FILED PCT APPLICATION WHICH ENTERED THE U.S. NATIONAL PHASE IS THE U.S. SERIAL NUMBER AND THE FILING DATE OF THE PCT APPLICATION WHICH DESIGNATED THE U.S. NOTE:

(1) WHERE THE APPLICATION BEING TRANSMITTED ADDS SUBJECT MATTER TO THE INTERNATIONAL APPLICATION THEN THE FILING CAN BE AS A CONTINUATION-IN-PART OR (2) IT IS DESIRED TO DO SO FOR NOTE: OTHER REASONS, E.G. WHERE NO DECLARATION IS AVAILABLE, NO ENGLISH TRANSLATION IS AVAILABLE OR NO FEE IS TO BE PAID ON FILING THEN THE FILING CAN BE AS A CONTINUATION. IN THESE CASES THE INTERNATIONAL APPLICATION DESIGNATING THE U.S. IS TREATED AS THE PARENT CASE IN THE U.S. AND IS AN ALTERNATIVE TO THE COMPLETION OF THE INTERNATIONAL APPLICATION UNDER 35 U.S.C. 371(C)(4) WHICH MUST MEET THE REQUIREMENTS OF 37 CFR 1.61(A). THIS ALTERNATIVE PERMITS THE COMPLETION OF THE FILING REQUIREMENTS WITHIN ANY TERM SET BY THE PTO UNDER 37 CFR 1.53(D) TO WHICH THE EXTENSION PROVISIONS OF 37 CFR 1.136(A) APPLY. (WHEREAS, IF THE FILING IS AS AN INTERNATIONAL APPLICATION ENTERING THE U.S. STAGE THEN THE FEE, DECLARATION AND/OR ENGLISH TRANSLATION (WHERE NECESSARY) IS DUE WITHIN 20 MONTHS OF THE PRIORITY DATE BUT CAN BE PAID WITHIN 22 MONTHS OF THE PRIORITY DATE (OR IS DUE WITHIN 30 MONTHS OF THE PRIORITY DATE BUT CAN BE SUBMITTED WITHIN 32 MONTHS OF THE PRIORITY DATE) WITH THE SURCHARGES SET FORTH IN 37 CFR 1.492(E), (F) AND 37 CFR 1.495(C); HOWEVER, THE PROVISIONS OF 37 CFR 1.136 DO NOT APPLY TO THIS 22 OR (32 MONTH) PERIOD. 37 CFR 1.61(B).)

THE DEADLINE FOR ENTERING THE NATIONAL PHASE IN THE U.S. FOR AN INTERNATIONAL APPLICATION WAS NOTE: CLARIFIED IN THE NOTICE OF APRIL 28, 1987 (1079 O.G. 32 TO 46) AS FOLLOWS:

"The Patent and Trademark Office considers the International application to be pending until the 22nd month from the priority date if the United States has been designated and no Demand for International Preliminary Examination has been filed prior to the expiration of the 19th month from the priority date and until the 32nd month from the priority date if a Demand for International Preliminary Examination which elected the United States of America has been filed prior to the expiration of the 19th month from the priority date, provided that a copy of the international application has been communicated to the Patent

and Trademark Office within the 20 or 30 month period respective If a copy of the international application has not been communicated to the Patent and Trademark Office within the 20 or 30 month period respectively, the international application becomes abandoned as to the United States 20 or 30 months from the priority date respectively. These periods have been placed in the rules as paragraph (h) of S 1.494 and paragraph (i) of S 1.495. A continuing application under 35 U.S.C. 365(c) and 120 may be filed anytime during the pendency of the international application."

17.	Rela	te B	ack-:	35 U.S.C.	119 Priorit	y Claim for	Prior Applicatio	· n	
	Tho	nrior	119	annlicatio	n(s) includi	ing any prior		olication designating the U.S.,	
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18.	Mai	nten	ance	of Cope	ndency of	Prior Applic	ation		
NOTE:	The I	The PTO finds it useful if a copy of the petition filed in the prior application extending the term for response is filed with the papers constituting the filing of the continuation application.							
	A.	ĺ]	r 1	A netition	in prior apperson to the second research to the second research to the second research to the petition file.	sponse extends	the term in the pending prior cation is attached	
	В.	J	. 1	Condit	A condition	nal petition for cation	or extension of tin	n Prior Application ne is being filed in the pending the prior application is attached	
19.	Fui	ther	Inve	entorship	Statement	:Where Ben	efit of Prior App	olication(s) Claimed	
NOTE:		ication are no						nan all the inventors named in the prior ion of the names of the person or persons -in-part, or divisional application. 37 CFR	
NOTE:			e of a	continuation	-in-part applica	ation which add:	s and claims additions	al disclosure by amendment, an oath or w oath or declaration is required due to	

declaration as required by § 1.63 must be filed. In those situations where a new oath or declaration is required due to

additional subject matter being claimed, additional inventors may be named in the continuing application. In a continuation or divisional application which discloses and claims only subject matter disclosed in a prior application, no additional oath or declaration is required and the application must name as inventors the same or less than all the inventors in the prior

application. 37 cfr 1.60(c). (Dealing with the continuation situation).

	(a)	[].	application discloses and claims only so ject matter disclosed in the prior application whose particulars are set out above and the inventor(s) in this application are [] the same. [] the following inventor(s) have been deleted:
			[] the following inventor(s) have been added:
	(b)		This application discloses and claims additional disclosure and a new declaration or oath is being filed. With respect to the prior application the inventor(s) in this application are [] the same. [] the following inventor(s) have been deleted:
			[] the following inventor(s) have been added:
	(c)	The in [x]	ventorship for all the claims in this application are the same. not the same, and an explanation, including the ownership of the various claims at the time the last claimed invention was made [] is submitted. [] will be submitted.
20.	Abano []	Please when when	nt of Prior Application (if applicable) e abandon the prior application at a time while the prior application is pending or the petition for extension of time or to revive in that application is granted and this application is granted a filing date so as to make this application copending aid prior application.
NOTE:	CONTII OF TIM APPLIC	NUATION ME OR A D CATION CO	O THE NOTICE OF MAY 13, 1983 (103, TMOG 6-7) THE FILING OF A CONTINUATION OF IN-PART APPLICATION IS A PROPER RESPONSE WITH RESPECT TO A PETITION FOR EXTENSION PETITION TO REVIVE AND SHOULD INCLUDE THE EXPRESS ABANDONMENT OF THE PRIOR ONDITIONED UPON THE GRANTING OF THE PETITION AND THE GRANTING OF A FILING DATE TO IG APPLICATION.

Original patent application of Mark Vandlik and Tom Westberg entitled Blood Processing Systems with Fluid Flow Cassette with a Pressure Actuated Pump Chamber and In-Line Air Trap, consisting of: transmittal letter with added pages for US priority claim; 133 pages specification; 1 page claims: 1 page abstract; 41 sheets of formal drawings; and Check No. 14607 - \$770.00.

Sent by Express Mail Label No. EV 318693209US on 20 April 2004

F-5482 DIV 2



N KROMHOLZ & MANION, S.C.

14607

DATE DESCRIPTION		INVOICE #	AMOUNT	DEDUCTION	NET AMOUNT
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RYAN KROMHOLZ & MANION, S.C.

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Commissioner for Patents

THE DER

> #O14607# #075900575# 0014 033 54B#

> > Exhibit C



Original patent application of Mark Vandlik and Tom Westberg entitled Blood Processing Systems with Fluid Flow Cassette with a Pressure Actuated Pump Chamber and In-Line Air Trap, consisting of: transmittal letter with added pages for US priority claim; 133 pages specification; 1 page claims; 1 page abstract; 41 sheets of formal drawings; and Check No. 14607 - \$770.00.

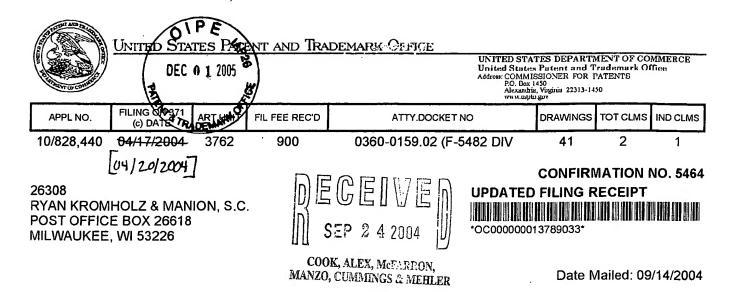
Sent by Express Mail Label No./EV 318693209US on 20 April 2004

F-5482 DIV 2

17548 U.S. PTO 10/828440

041704

X



Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Mark R. Vandlik, Mundelien, IL; Tom Westberg, Gurnee, IL;

Assignment For Published Patent Application

Baxter International Inc.

Power of Attorney:

Arnold Ericsen—16879
RALPH G. HOHENFELDT—17717
Allan Maki—20623
Bradford Price—29101
Daniel Ryan III—29243

Joseph Kromholz—34204 Denise Serewicz—36928 John Manion—38957

Domestic Priority data as claimed by applicant

Foreign Applications

SEP 1 7 2004

If Required, Foreign Filing License Granted: 06/29/2004

The number of your priority application, to be used for filing abroad under the Paris Convention is, US10/828,440

Projected Publication Date: 10/20/2005

Non-Publication Request: No

Early Publication Request: No

Title

Blood processing systems with fluid flow cassette with a pressure actuated pump chamber and inline air trap

Preliminary Class

604

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

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